



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Markus Bischof et al.

Confirmation No.: 5473

Appln. No.: 10/562,234

Filed: 12/21/2005

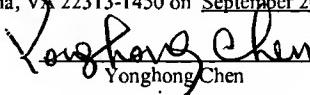
For: METHOD FOR TRIGGERING RADICAL POLYMERIZATION REACTIONS

Attorney Docket No.: 3926.222

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 20, 2006.

  
Yonghong Chen, Reg. No. 56,150

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Forms PTO/SB/08A & PTO/SB/08B, copy of the International Search Report (ISR) and a German Examination Report dated March 3, 2004 are provided herewith.

1. EP 0 928 296 B1 (in English)
2. US 4,511,596
3. ISHII ET AL.: "Catalytic Oxyalkylation of Alkenes with Alkanes and Molecular Oxygen via a Radical Process Using N-Hydroxyphthalimide," J. Org. Chem., 2001, pages 6425-31, Vol. 66, American Chemical Society, USA (in English)

4. WO 00/39209 A1 (in English)
5. DE 697 08 896 T2 (has English-equivalent application EP 0 928 296 B1 listed as Document 1)

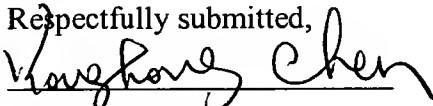
Documents 1-2 are cited in the ISR. Documents 2-5 are cited in the German Examination Report dated March 3, 2004.

The present Information Disclosure Statement is being filed within three months of the filing date of the instant application or before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Forms PTO/SB/08A & PTO/SB/08B be returned in accordance with MPEP §609.

Date: September 20, 2006

Respectfully submitted,  


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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.H./

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 1

**Complete if Known**

Application Number	10/562,234
Filing Date	12/21/2005
First Named Inventor	Markus Bischof
Art Unit	
Examiner Name	

Attorney Docket Number 3926.222

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 928 296 B1	05-12-2001	Akzo Nobel N.V.		
		WO 00/39209 A1	07-06-2000	CIBA SPECIALTY CHEMICALS HOLDING INC.		
		DE 697 08 896 T2	06-20-2002	Akzo Nobel N.V.		

Examiner Signature	/Robert Harlan/	Date Considered	02/24/2011
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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*Complete if Known***INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 3926.222

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/R.H./		ISHII ET AL.: "Catalytic Oxyalkylation of Alkenes with Alkanes and Molecular Oxygen via a Radical Process Using N-Hydroxyphthalimide," J. Org. Chem., 2001, pages 6425-31, Vol. 66, American Chemical Society, USA	

Examiner Signature	/Robert Harlan/	Date Considered	02/24/2011
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